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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/779,271

Filing Date

February 8, 2001

First Named Inventor

MCPHERSON, Alan J

Group Art Unit

2644

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Examiner Name

To Be Assigned

MAR 05 2003

Total Number of Pages in This Submission

71

Attorney Docket Number

3464-035

Technology Center 2600

## ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Documents(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) \_\_\_\_\_

Remarks

- After Allowance Communication to Group
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):  
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PATENT TRADEMARK OFFICE

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

WEISZ , Tiberiu Reg No 29,876

Signature

Date

February 25, 2003

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

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HUNTER, Belinda J

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February 25, 2003

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Attorney Docket No.: 3464-035

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First-Named Inventor : MCPHERSON, Alan J  
Serial No. : 09/779,271  
Filing Date : February 8, 2001  
Title : APPARATUS AND METHOD FOR DOWN CONVERTING MULTICHANNEL PROGRAMS TO DUAL CHANNEL PROGRAMS USING A SMART COEFFICIENT GENERATOR  
Examiner : To Be Assigned  
Group Art Unit : 2644

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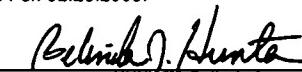
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Assistant Commissioner for Patents  
Washington, D.C. 20231

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\_\_\_\_\_  
HUNTER, Belinda J.

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §§1.56, 1.97 AND §1.98**

SIR :

Pursuant to the duty of disclosure under 37 CFR §§1.56, 1.97, and §1.98, applicant submits herewith an *Information Disclosure Statement* along with cited references listed on Form PTO-1449.

References AA-AD are cited in the *International Search Report* dated January 15, 2003 issued by the Patent Cooperation Treaty organization in counterpart International Patent Application No. PCT/US02/21649 (copy enclosed). Each of these references is indicated in this report as applicable to category A, meaning that these references are found to define the

general state of the art thus having no particular relevance compared to any of the claims of the subject invention. A true copy of this report from the files of the undersigned attorneys of record is also enclosed and is indicated on said list as **Reference BA**.

Inasmuch as the present application has not yet received a first Office Action on the merits in accordance with 37 CFR §1.97(b)(3) and the disclosures enclosed herewith have been cited in a foreign counterpart application not (3) months prior to the filing this paper in accordance with 37 CFR §1.97(e), this information is believed to be timely filed. Accordingly, consideration of the enclosed references and entry into the record are respectfully requested.

It is believed that no fee for filing this *Information Disclosure Statement* is now due. If it is determined that any fee is required, the Commissioner is hereby authorized to charge any such fee to Deposit Account No. 07-1730. A duplicate of this sheet is enclosed herewith.

Dated: February 25, 2003  
New York, New York

Respectfully submitted,

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By:

  
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Reg. No. 29,876

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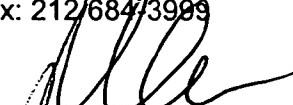
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New York, New York

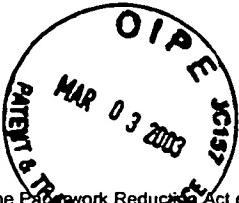
Respectfully submitted,

GOTTLIEB RACKMAN & REISMAN PC  
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New York, New York 10016-0601  
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Telefax: 212/684-3909

By: \_\_\_\_\_

  
WEISZ, Tiberiu  
Reg. No. 29,876

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

2

**Complete if Known**

|                      |                   |
|----------------------|-------------------|
| Application Number   | 09/779.271        |
| Filing Date          | February 8, 2001  |
| First Named Inventor | MCPHERSON, Alan J |
| Group Art Unit       | 2644              |
| Examiner Name        | To Be Assigned    |

Attorney Docket Number 3464-035

**U.S. PATENT DOCUMENTS**

| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | Document Number<br>Number - Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------------------|-----------------------|---|--------------------------------|--|---|
| /XM/                           | AA                    | US- 3,864,516   | 02.04.1975                     | Kameoka et al                                      | See International Search Rot  |
| /XM/                           | AB                    | US- 5,291,557 A   | 03.01.1994                     | Davis et al  | See International Search Rot  |
| /XM/                           | AC                    | US- 6,154,545 A   | 11.28.2000                     | Kohut et al  | See International Search Rot  |
| /XM/                           | AD                    | US- 6,252,965 B1  | 09.26.2001                     | Beard  | See International Search Rot  |
|                                | AE                    | US-   |                                |  |   |
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**FOREIGN PATENT DOCUMENTS**

| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | Foreign Patent Document<br>Country Code <sup>3</sup> -Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T6 |
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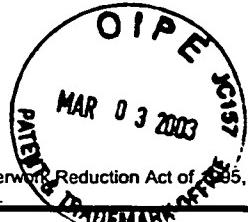
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| Examiner Signature | /Xu Mei/ | Date Considered | 03/17/2008 |
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<sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>7</sup> Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 2 of 2

**Complete if Known**

|                        |                   |
|------------------------|-------------------|
| Application Number     | 09/779.271        |
| Filing Date            | February 8, 2001  |
| First Named Inventor   | MCPHERSON, Alan J |
| Group Art Unit         | 2644              |
| Examiner Name          | To Be Assigned    |
| Attorney Docket Number | 3464-035          |

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup>         |
|-------------------|-----------------------|--|------------------------|
| /XM/              | BA                    | International Search Report; corresponding PCT/US02/21649; dated January 15, 2003;<br>Applicant: Warner Music Group Inc; Inventors: AJ McPherson, GH Lydecker  |                        |
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| Examiner Signature | /Xu Mei/ | Date Considered | 03/17/2008 |
|--------------------|----------|-----------------|------------|

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